

FORMAT: PX-I

“Concurrence”/“No Objection”/“Prior Standing Clearance”

<<Format in which Concurrence /No Objection/Prior Standing Clearance is to be given by
SLDCs to a State Utility or intra-state Entity for submission to Power Exchange>>

Ref. No. _____

Dated. _____

1. Name of the SLDC issuing No Objection :
 2. Region : North / West / South / East / North-East
 3. Name of the Entity :
 4. Status of Entity (e.g.; State Utility/CPP/IPP/Discom etc.):
 5. Point(s) of Connection :
 6. Max. MW ceiling allowed for Injection* :
 7. Max. MW ceiling allowed for Drawal* :
- (* SLDCs may specify different MW ceilings for different time blocks, if required.)
8. Validity Period : From : << **Date** >> To: << **Date** >>
 9. Transmission losses (besides Regional Transmission losses)

	Whether Applicable or not (Yes/No)	(%) loss
State Transmission losses		
Distribution Licensees losses		
Any other losses		

10. Transmission charges (besides Regional Transmission charges)

	Whether Applicable or not (Yes/No)	Rate (Rs./MWh)
State Transmission Charges		
Distribution Licensees		
Any other charges		

Declaration:

It is hereby certified that:

- a) We have “No Objection” to seeking and availing Open Access by <<**Name of Entity**>>, through Power Exchange upto the MW ceiling as specified above, in accordance with applicable regulations of CERC/<<**State**>> ERC.
- b) We have the required infrastructure for energy metering and time block wise accounting in place. The State/ Distribution licensee network has the required transfer capability for transfer of power as per specified ceiling.
- c) The Transmission Charges for the use of State/Distribution Licensee network and Operating Charges for the State Load Despatch Centers shall be directly settled by Power Exchange with us.
- d) The State Utility designated for the purpose of collection/disbursement of UI charges shall be responsible for timely payment of State’s composite dues into the Regional Pool Account.
- e) Any mismatch between the Scheduled and Actual drawal/injection for the intra-State Entity shall be determined by us and will be covered in the intra-State UI accounting scheme, or as applicable.
- f) The Reactive Energy Charges shall be governed by the Regulations applicable within the State.
- g) We shall disburse the Transmission Charges for use of the State/Distribution Licensee Network to the State Transmission Utility /Distribution Licensee directly.
- h) We shall inform the total import and export capability of the State as a whole to all concerned. Attempt shall be made to declare this in advance through our website.
- i) Any change in the contents of the Concurrence /No Objection/Prior Standing Clearance shall be conveyed to the party to whom Concurrence /No Objection/Prior Standing Clearance was given, latest by 10 Hrs. of the day prior to the day of transaction. In such cases, the Power Exchange(s) shall also be informed simultaneously.

Signature

Name

Designation

(Authorized Signatory)

Phone No.:

Place:

Date:

AFFIDAVIT

I,<<name of the person>>..... son of Shri ...<<father's name>>..... aged ...<<age>>..... Years and presently working with the<< name of the Company/Trading Licensee/organization>>..... having its registered office situated at<< address>>....., do hereby solemnly affirm and state as under:

1. THAT I am working as ...<<designation>>... with the<< name of the company>>.....,is duly authorized to make this affidavit.
2. THAT I had made an [Application **OR** Application after removal of deficiency or rectification of defects, as communicated by the _____SLDC] {as the case may be} to _____ SLDC for seeking [“Concurrence” **OR** “Standing Clearance” **OR** “no objection”]_{as the case may be} for grant of inter-State short-term open access in accordance with the format specified by the _____SLDC, if any on<< date of submission of application to SLDC>>..... A copy of the acknowledgement (or any other evidence in support of delivery of the application to the _____SLDC, given by the _____SLDC is enclosed along with this Affidavit as Annex-I.
11. THAT the State Load Despatch Centre has failed to convey any deficiency or defect in the application or its refusal or concurrence or ‘no objection’ or prior standing clearance, as the case may be, within the specified time i.e.;<< Date by which SLDC is to be respond>>..... { 3 workin g days /7 working days in case of first time applicant}
- : THAT the necessary infrastructure for time-block-wise energy metering and accounting in accordance with the provisions of the Grid Code in force, is in place; and are enclosed along with the affidavit – as Annex-II. It is also understood that the _____SLDC is responsible for the scheduling and energy accounting and RLDCs will not be responsible in any manner in this regard.
- : THAT I shall keep each of the RLDCs/NLDC indemnified at all times and shall undertake to indemnify, defend and save the RLDCs/NLDC harmless from any and all damages, losses, claims and actions arising out of disputes relating to scheduling, metering, billing, energy accounting, settlement etc. due to such short-term open access transaction.

DEPONENT

Verification:

Verified at ...<< place>>... on this day of ...<<month>>....., ..<<year>>... that the facts stated in the above affidavit are true & correct to my knowledge and no part of it is false and nothing intended has been concealed therefrom.

DEPONENT

FORMAT: PX-II

APPLICATION FOR SCHEDULING OF COLLECTIVE TRANSACTION

<<Formats for Application to be submitted by Power Exchange(s) to NLDC>>

Application No.

Date:

Name of Power Exchange:

Scheduling Request for <<Date >>

Region	Sum of Injection by all Sellers (MWh)	Sum of Drawal by all Buyers (MWh)	Net Injection(+) / Drawal(-) (MWh)	Number of Regional Entities involved	
				(Injection)	(Drawal)
Northern					
Western					
Southern					
Eastern					
North-Eastern					
TOTAL					

Open Access Charges:

1. Application Fee : Rs.<< *Payment details* >>
2. Transmission Charges : Rs..... << *to be paid by next Working day*>>
3. Operating Charges : Rs.>>

It is hereby certified that

12. The request for scheduling submitted has been arrived at after a transparent process of bidding.
13. The request for scheduling is within the available margins on respective transmission systems.
: "Concurrence/"No objection"/"Prior Standing clearance" has been obtained from the concerned State Load Despatch Centres in the specified format, wherever applicable, which *inter alia* provides that respective State Utilities shall be responsible for timely payment of State's composite due to Regional Pool Account. All Sale / Buy transactions are within the limits specified by SLDCs.
- d) All Entities (Buyer / Seller / Trader / Power Exchange) involved in the Collective Transaction agree to abide by the provisions of Electricity Act, IEGC, CERC (Open Access in Inter-State Transmission) Regulations, 2008 and the Procedures for Scheduling of Collective Transaction issued by Central Transmission Utility, as amended from time to time.
- e) The provisions under Para 10.1 & 10.2 of the "Procedure for Scheduling of Collective Transaction" are expressly understood to be complied with.
- f) **Scheduling Request has been forwarded to NLDC electronically in the prescribed format.**
- g) No past payment is outstanding.

Place:
Date:

Signature
Name
Designation
Phone No.:

